Housing Ombudsman Self-Assessment 2025

This self-assessment form has been completed by the Operations Director and is reviewed and approved by the landlord's governing body at least annually.

Once approved, landlords must publish the self-assessment as part of the annual complaints' performance and service improvement report on their website. The governing body's response to the report must be published alongside this. Landlords are required to complete the self-assessment in full and support all statements with evidence, with additional commentary as necessary.

Section 1: Definition of a complaint

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
1.2	A complaint must be defined as: 'An expression of dissatisfaction, however made, about the standard of service, actions or lack of action by the landlord, its own staff, or those acting on its behalf, affecting a resident or group of residents.'	Yes	Complaint Handling Policies	This definition is included in section 1.1 of our policy.
1.3	A resident does not have to use the word 'complaint' for it to be treated as such. Whenever a resident expresses dissatisfaction landlords must give them the choice to make complaint. A complaint that is submitted via a third party or representative must be handled in line with the landlord's complaints policy.	Yes	Complaint Handling Policies	Covered in sections 1.1 of our policy Complaints training conducted with staff has reiterated that the word 'complaint' does not have to be used for the tenant to make a complaint. Additional training sessions are ongoing.

1.4	Landlords must recognise the difference between a service request and a complaint. This must be set out in their complaints policy. A service request is a request from a resident to the landlord requiring action to be taken to put something right. Service requests are not complaints, but must be recorded, monitored and reviewed regularly.	Yes	Complaint Handling Policies	Covered in section 1.1 of our policy. Additional training sessions are planned for March 2025 and will be ongoing. The recording, monitoring and review of service requests are being reviewed, and processes will be updated.
1.5	A complaint must be raised when the resident expresses dissatisfaction with the response to their service request, even if the handling of the service request remains ongoing. Landlords must not stop their efforts to address the service request if the resident complains.	Yes	Complaint Handling Policies	Covered in section 6 of our policy. Additional training sessions are planned for June 2025 and will be ongoing.
1.6	An expression of dissatisfaction with services made through a survey is not defined as a complaint, though wherever possible, the person completing the survey should be made aware of how they can pursue a complaint if they wish to. Where landlords ask for wider feedback about their services, they also must provide details of how residents can complain.	Yes	Repairs Satisfaction and TSM Survey	Repairs Tenants Satisfaction Surveys feedback is responded to, and tenants are made aware of how to make a complaint. All expressions of dissatisfaction made in the TSM survey was followed up and an action taken for individuals and a wider plan is developed and agreed with the tenants and communications committee.

Section 2: Exclusions

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
2.1	Landlords must accept a complaint unless there is a valid reason not to do so. If landlords decide not to accept a complaint, they must be able to evidence their reasoning. Each complaint must be considered on its own merits	Yes	Complaint Handling Policies	Covered in section 8 of our policy. Additional training sessions are planned for June 2025 and will be ongoing.
2.2	A complaints policy must set out the circumstances in which a matter will not be considered as a complaint or escalated, and these circumstances must be fair and reasonable to residents. Acceptable exclusions include:	Yes	Complaint Handling Policies	Covered in section 8 of our policy.
2.3	Landlords must accept complaints referred to them within 12 months of the	Yes	Complaint Handling Policies	Covered in section 1.1 of our policy.

	issue occurring or the resident becoming aware of the issue, unless they are excluded on other grounds. Landlords must consider whether to apply discretion to accept complaints made outside this time limit where there are good reasons to do so.			
2.4	If a landlord decides not to accept a complaint, an explanation must be provided to the resident setting out the reasons why the matter is not suitable for the complaints process and the right to take that decision to the Ombudsman. If the Ombudsman does not agree that the exclusion has been fairly applied, the Ombudsman may tell the landlord to take on the complaint.	Yes	Complaint Handling Policies and letters	Covered in unacceptable behaviours and actions section of the policy If a complaint is not accepted, a response letter is provided with an explanation as to why and with details for the ombudsman.
2.5	Landlords must not take a blanket approach to excluding complaints; they must consider the individual circumstances of each complaint.	Yes	Complaint Handling Policies	Covered in section 1.1 of our policy. Additional training sessions are planned for June 2025 and will be ongoing.

Section 3: Accessibility and Awareness

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
3.1	Landlords must make it easy for residents to complain by providing different channels through which they	Yes		Covered in sections 2 of our policy, tenants can make a complaint via:

	can make a complaint. Landlords must consider their duties under the Equality Act 2010 and anticipate the needs and reasonable adjustments of residents who			Website Letter Email
	may need to access the complaints process.			Phone Social media In person
				Policy, easy read and leaflet available on our website. Policy can be made in other formats, such as braille, when required.
				Reasonable adjustments section 4 of the policy sets out how we will adjust normal approaches and practices to meet individual tenants' needs.
				Additional training sessions are planned for May 2025 and will be ongoing.
3.2	Residents must be able to raise their complaints in any way and with any member of staff. All staff must be aware of the complaints process and be able to pass details of the complaint to the appropriate person within the landlord.	Yes	Complaint Handling Policies	Covered in section 2 of our policy. Staff training has been conducted, additional training sessions are planned for June 2025 and will be ongoing.

3.3	High volumes of complaints must not be seen as a negative, as they can be indicative of a well-publicised and accessible complaints process. Low complaint volumes are potentially a sign that residents are unable to complain.	Yes		Staff training has been conducted, additional training sessions are planned for June 2025 and will be ongoing.
3.4	Landlords must make their complaint policy available in a clear and accessible format for all residents. This will detail the two stage process, what will happen at each stage, and the timeframes for responding. The policy must also be published on the landlord's website.	Yes	Complaint Handling Policies	Covered in section 2 of our policy. Policy and procedure are accessible on our website. Compliments, Concerns and Complaints - Wirral Methodist Housing Association
3.5	The policy must explain how the landlord will publicise details of the complaints policy, including information about the Ombudsman and this Code.	Yes	Complaint Handling Policies	Policy and procedure are accessible on our website. Compliments, Concerns and Complaints - Wirral Methodist Housing Association
3.6	Landlords must give residents the opportunity to have a representative deal with their complaint on their behalf, and to be represented or accompanied at any meeting with the landlord.	Yes	Complaint Handling Policies	Covered in section 3 of our policy.
3.7	Landlords must provide residents with information on their right to access the Ombudsman service and how the individual can engage with the Ombudsman about their complaint.	Yes	Complaints policy and letters.	Policy and procedure are accessible on our website. Compliments, Concerns and Complaints - Wirral Methodist

		Housing Association

Section 4: Complaint Handling Staff

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
4.1	Landlords must have a person or team assigned to take responsibility for complaint handling, including liaison with the Ombudsman and ensuring complaints are reported to the governing body (or equivalent). This Code will refer to that person or team as the 'complaints officer'. This role may be in addition to other duties.	Yes	Policy	Covered in section 15 of our policy. The Head of Housing is responsible for complaints handling and is the Communications and Tenant Engagement Lead. There is also complaints lead in our front of house team. Staff training has been conducted, additional training sessions are planned for June 2025 and will be ongoing.
4.2	The complaints officer must have access to staff at all levels to facilitate the prompt resolution of complaints. They must also have the authority and autonomy to act to resolve disputes promptly and fairly.	Yes		The complaints officer has access to staff at all levels and authority and autonomy to act to resolve disputes promptly and fairly.

			Staff training has been conducted, additional training sessions are planned for June 2025 and will be ongoing.
4.3 complaint hand learning from complaint hand learning from complaint hand learning from complaint hand learning from the complain	expected to prioritise dling and a culture of complaints. All relevant uitably trained in the complaint handling. It is complaints are seen as a nd must be resourced to ints effectively	Yes	Staff training has been conducted, and additional training sessions are planned for June 2025 and will be ongoing. Ongoing training ensures complaint handlers have the skills and are kept up to date with changes in relevant policy and best practices.

Section 5: The Complaint Handling Process

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
5.1	Landlords must have a single policy in place for dealing with complaints covered by this Code. Residents must not be treated differently if they complain.	Yes	Complaint Handling Policies	Single complaints policy and tenants are treated fairly and not discriminated against if they complain.
5.2	The early and local resolution of issues between landlords and residents is key to effective complaint handling. It is not appropriate to have extra named stages (such as 'stage 0' or 'informal complaint') as this causes unnecessary confusion.		Complaint Handling Policies	We have a 2 stage process which is clearly defined in our policy and procedure.

5.3	A process with more than two stages is not acceptable under any circumstances as this will make the complaint process unduly long and delay access to the Ombudsman.	Yes	Complaint Handling Policies	As above
5.4	Where a landlord's complaint response is handled by a third party (e.g. a contractor or independent adjudicator) at any stage, it must form part of the two stage complaints process set out in this Code. Residents must not be expected to go through two complaints processes.	Yes	Complaint Handling Policies	Covered in section 3 of our policy. Additional training sessions are planned for June 2025 and will be ongoing
5.5	Landlords are responsible for ensuring that any third parties handle complaints in line with the Code.	Yes	Complaint Handling Policies	As above.
5.6	When a complaint is logged at Stage 1 or escalated to Stage 2, landlords must set out their understanding of the complaint and the outcomes the resident is seeking. The Code will refer to this as "the complaint definition". If any aspect of the complaint is unclear, the resident must be asked for clarification.	Yes	Complaints policy and letters	Covered in section 1.1 of our policy and complaints letters.
5.7	When a complaint is acknowledged at either stage, landlords must be clear which aspects of the complaint they are, and are not, responsible for and clarify any areas where this is not clear.	Yes	Complaint Handling Policies	Covered in section 5 of our complaints policy.
5.8	At each stage of the complaints process, complaint handlers must: a. deal with complaints on their merits, act	Yes	Complaint Handling Policies	Covered in section 4 of our complaints policy.

	independently, and have an open mind; b. give the resident a fair chance to set out their position; c. take measures to address any actual or perceived conflict of interest; and d. consider all relevant information and evidence carefully.			Additional training sessions are planned for June 2025 and will be ongoing.
5.9	Where a response to a complaint will fall outside the timescales set out in this Code, the landlord must agree with the resident suitable intervals for keeping them informed about their complaint.	Yes	Complaints policy and letters	Covered in section 3 of our complaints policy. This is evidenced in letters and through agreed communication methods and online meetings.
5.10	Landlords must make reasonable adjustments for residents where appropriate under the Equality Act 2010. Landlords must keep a record of any reasonable adjustments agreed, as well as a record of any disabilities a resident has disclosed. Any agreed reasonable adjustments must be kept under active review.	Yes	Complaints policy	Covered in section 4 of our complaints policy. Our reasonable adjustment policy sets out how we will adjust normal approaches and practices to meet individual tenants' needs.
5.11	Landlords must not refuse to escalate a complaint through all stages of the complaints procedure unless it has valid reasons to do so. Landlords must clearly	Yes	Complaint Handling Policies	Covered in the unacceptable behaviour and actions policy. Unless one of these reasons

	set out these reasons, and they must comply with the provisions set out in section 2 of this Code.			apply, do not refuse complaints escalations.
5.12	A full record must be kept of the complaint, and the outcomes at each stage. This must include the original complaint and the date received, all correspondence with the resident, correspondence with other parties, and any relevant supporting documentation such as reports or surveys.	Yes	Complaints case management system.	Captured in our complaints system. There has been occasions where we haven't received all the correspondence from the person investigating the complaint to record this in the system. Bi Monthly Departmental meetings consider this.
5.13	Landlords must have processes in place to ensure a complaint can be remedied at any stage of its complaints process. Landlords must ensure appropriate remedies can be provided at any stage of the complaints process without the need for escalation.	Yes	Complaint Handling Policies	Compensation and remedies policy part of the complaints policy in the redress section.
5.14	Landlords must have policies and procedures in place for managing unacceptable behaviour from residents and/or their representatives. Landlords must be able to evidence reasons for putting any restrictions in place and must keep restrictions under regular review.	Yes	Complaint Handling Policies	Covered in Unreasonable behaviour and actions policy section of the overall policy

	Any restrictions placed on contact due to unacceptable behaviour must be	Yes	As above	As above
	proportionate and demonstrate regard for			
	the provisions of the Equality Act 2010.			

Section 6: Complaints Stages Stage 1

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
6.1	Landlords must have processes in place to consider which complaints can be responded to as early as possible, and which require further investigation. Landlords must consider factors such as the complexity of the complaint and whether the resident is vulnerable or at risk. Most stage 1 complaints can be resolved promptly, and an explanation, apology or resolution provided to the resident.	Yes	Complaint Handling Policies	Additional training sessions are planned for June 2025 and will be ongoing.
6.2	Complaints must be acknowledged, defined and logged at stage 1 of the complaints procedure within five working days of the complaint being received.	Yes	Complaints policy and letters	Covered in our procedure posted on our web site Compliments, Concerns and Complaints - Wirral Methodist Housing Association
6.3	Landlords must issue a full response to stage 1 complaints within 10 working days of the complaint being acknowledged.	Yes	Complaints policy and letters	Covered in our procedure posted on our web site Compliments, Concerns and Complaints - Wirral Methodist Housing Association

6.4	Landlords must decide whether an extension to this timescale is needed when considering the complexity of the complaint and then inform the resident of the expected timescale for response. Any extension must be no more than 10 working days without good reason, and the reason(s) must be clearly explained to the resident.	Yes	Complaint Handling Policy Housing Management Database	Covered in section 3 of the policy.
6.5	When an organisation informs a resident about an extension to these timescales, they must be provided with the contact details of the Ombudsman.	Yes	Housing Management Database standard letters	Covered in our procedure posted on our web site Compliments, Concerns and Complaints - Wirral Methodist Housing Association
6.6	A complaint response must be provided to the resident when the answer to the complaint is known, not when the outstanding actions required to address the issue are completed. Outstanding actions must still be tracked and actioned promptly with appropriate updates provided to the resident.	Yes	Housing Management Database standard letters	The database creates actions that are allocated to colleagues and remain live in their in tray until complete
6.7	Landlords must address all points raised in the complaint definition and provide clear reasons for any decisions, referencing the relevant policy, law and good practice where appropriate.	Yes	Complaints policy and letters	Covered in section 3 of our policy and evidenced in our letters. Additional training sessions are planned for June 2025 and will be ongoing.

6.8	Where residents raise additional complaints during the investigation, these must be incorporated into the stage 1 response if they are related and the stage 1 response has not been issued. Where the stage 1 response has been issued, the new issues are unrelated to the issues already being investigated or it would unreasonably delay the response, the new issues must be logged as a new complaint.	Yes	Complaints policy	Covered in section 3 of our policy. Additional training sessions are planned for June 2025 and will be ongoing.
6.9	Landlords must confirm the following in writing to the resident at the completion of stage 1 in clear, plain language: a. the complaint stage; b. the complaint definition; c. the decision on the complaint; d. the reasons for any decisions made; e. the details of any remedy offered to put things right; f. details of any outstanding actions; and g. details of how to escalate the matter to stage 2 if the individual is not satisfied with the response.	Yes	Complaints letters.	Covered in our procedure posted on our web site Compliments, Concerns and Complaints - Wirral Methodist Housing Association

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
	If all or part of the complaint is not resolved to the resident's satisfaction at stage 1, it must be progressed to stage 2 of the landlord's procedure. Stage 2 is the landlord's final response.	Yes	Housing Management Database standard letters	Covered in our procedure posted on our web site Compliments, Concerns and Complaints - Wirral Methodist Housing Association
6.11	Requests for stage 2 must be acknowledged, defined and logged at stage 2 of the complaints procedure within five working days of the escalation request being received.	Yes	Housing Management Database standard letters	Covered in section 7 of our complaints policy and evidenced in our letters.
6.12	Residents must not be required to explain their reasons for requesting a stage 2 consideration. Landlords are expected to make reasonable efforts to understand why a resident remains unhappy as part of its stage 2 response.	Yes		Tenants do not need to explain their reasons for escalating a complaint.
6.13	The person considering the complaint at stage 2 must not be the same person that considered the complaint at stage 1.	Yes	Housing Management Database standard letters	Covered in section 3 of our policy.
6.14	Landlords must issue a final response to the stage 2 <u>within 20 working days</u> of the complaint being acknowledged.	Yes	Complaints procedure	Covered in our procedure posted on our web site Compliments, Concerns and Complaints - Wirral Methodist Housing Association

6.15	Landlords must decide whether an extension to this timescale is needed when considering the complexity of the complaint and then inform the resident of the expected timescale for response. Any extension must be no more than 20 working days without good reason, and the reason(s) must be clearly explained to the resident.	No		Not currently written into our policy but will be updated to include in 2025
6.16	When an organisation informs a resident about an extension to these timescales, they must be provided with the contact details of the Ombudsman.	Yes	Complaints extension letters	Evidenced in our letters.
6.17	A complaint response must be provided to the resident when the answer to the complaint is known, not when the outstanding actions required to address the issue are completed. Outstanding actions must still be tracked and actioned promptly with appropriate updates provided to the resident.	Yes	Complaints policy and letters	Covered in section 3 of our policy and evidenced in our letters.
6.18	Landlords must address all points raised in the complaint definition and provide clear reasons for any decisions, referencing the relevant policy, law and good practice where appropriate.	Yes	Complaints policy	Covered in section 3 of our policy and evidenced in our letters.
6.19	Landlords must confirm the following in writing to the resident at the completion of stage 2 in clear, plain language: a. the complaint stage;	Yes	Complaints letters	Evidenced in our letters.

	b. the complaint definition; c. the decision on the complaint; d. the reasons for any decisions made; e. the details of any remedy offered to put things right; f. details of any outstanding actions; and g. details of how to escalate the matter to the Ombudsman Service if the individual remains dissatisfied.			
6.20	Stage 2 is the landlord's final response and must involve all suitable staff members needed to issue such a response.	Yes	Complaints policy	Additional training sessions are planned for June 2025 and will be ongoing.

Section 7: Putting things right

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
7.1	Where something has gone wrong a landlord must acknowledge this and set out the actions it has already taken, or intends to take, to put things right. These can include: • Apologising;	Yes		Covered in section 5 of our policy and our response letters.

	 Acknowledging where things have gone wrong; Providing an explanation, assistance or reasons; Taking action if there has been delay; Reconsidering or changing a decision; Amending a record or adding a correction or addendum; Providing a financial remedy; Changing policies, procedures or practices. 			
7.2	Any remedy offered must reflect the impact on the resident as a result of any fault identified.	Yes	Complaints policy and compensation and remedies policy.	Covered in section 5 of our complaints policy and in the Compensation and Remedies Policy Additional training sessions are planned for June 2025 and will be ongoing.
7.3	The remedy offer must clearly set out what will happen and by when, in agreement with the resident where appropriate. Any remedy proposed must be followed through to completion.	Yes	Compensation and remedies policy and letters.	Response letter covers remedies. Covered in Compensation and remedies policy.

7.4	Landlords must take account of the guidance issued by the Ombudsman when deciding on appropriate remedies.	Yes		Training sessions are planned for June 2025 and will be ongoing.
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Section 8: Putting things right

Code provision	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
8.1	Landlords must produce an annual complaints performance and service improvement report for scrutiny and challenge, which must include: a. the annual selfassessment against this Code to ensure their complaint handling policy remains in line with its requirements. b. a qualitative and quantitative analysis of the landlord's complaint handling performance. This must also include a summary of the types of complaints the landlord has refused to accept; c. any findings of noncomplaints with this Code by the Ombudsman; d. the service improvements made as a result of the learning from complaints;	Yes	Complaints policy and self- assessment.	Covered in section 6 of our policy. Complaints performance and service improvement reports are presented to the board quarterly as part of the performance reporting and complaints data is to be discussed at Executive level each month. Our annual complaints performance and service improvement report and the board's response will be shared with tenants and their representatives in our quarterly Tenants Advisory Group meetings.

	e. any annual report about the landlord's performance from the Ombudsman; and			
	f. any other relevant reports or publications produced by the Ombudsman in relation to the work of the landlord.			
8.2	The annual complaints performance and service improvement report must be reported to the landlord's governing body (or equivalent) and published on the on the section of its website relating to complaints. The governing body's response to the report must be published alongside this.	Yes	Complaints policy	Published before July each year
8.3	Landlords must also carry out a self- assessment following a significant restructure, merger and/or change in procedures.	Yes	Self-assessment	No major reorganisation has taken place
8.4	Landlords may be asked to review and update the self-assessment following an Ombudsman investigation.	Yes		We will review and update our self-assessment if this happens.
8.5	If a landlord is unable to comply with the Code due to exceptional circumstances, such as a cyber incident, they must inform the Ombudsman, provide information to residents who may be affected, and publish this on their website. Landlords must provide a timescale for returning to compliance with the Code.	Yes		We will inform the ombudsman and provide information to tenants who may be affected and publish this on our website if this happens.

Section 9: Scrutiny & oversight: continuous learning and improvement

Code	Code requirement	Comply: Yes / No	Evidence	Commentary / explanation
9.1	Landlords must look beyond the circumstances of the individual complaint and consider whether service improvements can be made as a result of any learning from the complaint.	Yes	Complaints policy	Covered in section 5 of our complaints policy. Additional training sessions are planned for June 2025 and will be ongoing.
9.2	A positive complaint handling culture is integral to the effectiveness with which landlords resolve disputes. Landlords must use complaints as a source of intelligence to identify issues and introduce positive changes in service delivery.	Yes	Complaints policy	As above
9.3	Accountability and transparency are also integral to a positive complaint handling culture. Landlords must report back on wider learning and improvements from complaints to stakeholders, such as residents' panels, staff and relevant committees.	Yes	Complaints policy	Covered in section 6 of our complaints policy. We publish complaints information in our newsletter and tenants' annual report,
9.4	Landlords must appoint a suitably senior lead person as accountable for their complaint handling. This person must assess any themes or trends to identify potential systemic issues, serious risks,	Yes	Head of Housing is the Lead for complaints	

	or policies and procedures that require revision.			
9.5	In addition to this a member of the governing body (or equivalent) must be appointed to have lead responsibility for complaints to support a positive complaint handling culture. This person is referred to as the Member Responsible for Complaints ('the MRC').	Yes	Member Responsible for Complaints on Board	Member Responsible for Complaints on Board
9.6	The MRC will be responsible for ensuring the governing body receives regular information on complaints that provides insight on the landlord's complaint handling performance. This person must have access to suitable information and staff to perform this role and report on their findings.	Yes		As above. The MRC will receive monthly information on complaints that provides insights into our complaints handling performance. Performance reports include complaints data.
9.7	As a minimum, the MRC and the governing body (or equivalent) must receive: a. regular updates on the volume, categories and outcomes of complaints, alongside complaint handling performance; b. regular reviews of issues and trends arising from complaint handling;	Yes	Complaints policy	Covered in section 6 of our complaints policy. The tenants and communication committee receives regular reports The board reports for complaints provide information, including:

	c. regular updates on the outcomes of the Ombudsman's investigations and progress made in complying with orders related to severe maladministration findings; and d. annual complaints			volume, categories and outcomes of complaints, complaints handling performance and an annual complaints performance and service improvement report. Regular reviews of issues
	performance and service improvement report.			and trends arising from complaint handling and regular updates on the outcomes of any ombudsmar investigations and progress made.
9.8	Landlords must have a standard objective in relation to complaint handling for all relevant employees or third parties that reflects the need to: a. have a collaborative and co-operative approach towards resolving complaints, working with colleagues across teams and departments; b. take collective responsibility for any shortfalls identified through complaints, rather than blaming others; and c. act within the professional standards for engaging with complaints as set by any relevant professional body.	Yes	Complaints policy principles	Covered in our key principles in section 5 of our policy. These objectives are in place for complaints handling staff. Additional training sessions are planned for June 2025 and will be ongoing.